

For Immediate Release

Contact:

David M. Scheckel  
Order Execution Services  
+(609) 430-0100  
[dave@tradeoes.com](mailto:dave@tradeoes.com)

David A. Kaminer  
The Kaminer Group (for OES)  
+(914) 684-1934  
[dkaminer@kamgrp.com](mailto:dkaminer@kamgrp.com)

Nicolle Farthing/Nicki Ferguson  
Cre8 Results Limited (for Portware)  
+44 (0)20 7734 9199  
[portware@cre8results.com](mailto:portware@cre8results.com)

## **OES Partners with Portware to Deliver Reg NMS Compliance Services**

**PRINCETON, N.J., Nov. 6, 2007** – The OES MarketGroup ([www.tradeoes.com](http://www.tradeoes.com)) today announced a partnership with Portware LLC to provide National Market System connectivity and NMS-compliant routing services to financial institutions on Portware’s global, broker-neutral, multi-asset trading and strategy systems.

OES will provide Portware with Reg NMS-compliant trading center connectivity for outbound router services, enabling Portware’s sell-side clients to meet mandatory trading and compliance requirements of Reg NMS.

“National Market System connectivity and the ability to provide our clients with excellent NMS-compliant routing services is essential in today’s fast moving and competitive trading environment,” said Portware CEO Eric Goldberg. “By teaming with OES, a leader in achieving Reg NMS compliance, Portware is able to continue to provide robust and scalable solutions for the changing regulatory and market landscape.”

OES delivers connectivity to a growing worldwide network of securities industry leaders including market centers NYSE Arca, the Philadelphia Stock Exchange (PHLX), the ISE Stock Exchange, and top broker-dealers.

“OES is justifiably proud of the reputation we have earned in serving the needs of leading industry players, including exchanges, market centers and others, who bank on us to help them meet their Reg NMS obligations,” said Michael A. Barth, executive vice president, Strategic Initiatives, for the OES MarketGroup.

“Now,” he said, “with the addition of Portware to our prestigious Reg NMS client list, OES continues to expand its business across oceans and national boundaries, further demonstrating our leadership in solving critical needs of a variety of firms under Reg NMS compliance.”

### **About OES MarketGroup (OES)**

OES MarketGroup ([www.tradeoes.com](http://www.tradeoes.com)), through its wholly owned technology and broker-dealer subsidiaries, provides seamless electronic market connectivity, liquidity access and rapid executions by linking broker-dealers, trading systems, exchanges and market centers. As an innovative securities industry leader, OES specializes in smart-order routing, Reg NMS compliance tools, sponsored market access, agency execution and private inter-market linkages. Closely-held, OES is headquartered in Princeton, with offices in Chicago, Los Angeles, Newark and New York City.

### **About Portware LLC**

Portware LLC is a leading independent provider of global, broker-neutral, multi-asset, trading systems. Portware’s centralized trading environment has a flexible framework and offers a full range of components for ready-to-trade systems and customizable, enterprise solutions. Portware enables buy- and sell-side clients to create and modify trading strategies and algorithms and supports FX, single stock, portfolio, program, pairs and index trading. Portware provides advanced tools for TCA, risk management, real-time reporting, algorithms and analytics. With its open architecture Portware’s users are able to implement solutions that meet their individual trading requirements and easily adapt to the rapidly evolving and increasingly regulated global marketplace. Since its launch in 2000, Portware systems have been implemented at more than 100 firms worldwide, including hedge funds, asset management firms and sell-side program trading desks. [www.portware.com](http://www.portware.com)

*This press release contains forward-looking statements within the meaning of section 27A of the Securities Act of 1933 and Section 21E of the Securities Exchange Act of 1934. With the exception of historical information contained herein, the matters discussed in this press release involve risk and uncertainties. Actual results could differ materially from those expressed in any forward-looking statement.*

*All trademarks are properties of their respective owners.*